

FORM PTO-1390 (REV 07/01)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER BDL-356XX
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 36 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09 / 890695</b>
INTERNATIONAL APPLICATION NO. PCT/FR00/03385	INTERNATIONAL FILING DATE 05 December 2000	PRIORITY DATE CLAIMED 06 December 1999
TITLE OF INVENTION A METHOD OF OBTAINING A CARBON FIBER FABRIC BY CONTINUOUSLY CARBONIZING A CELLULOSE FIBER FABRIC		
APPLICANT(S) FOR DO/EO/US Pierre Olry, Mark Kazakov, Sylvie Loison, and Marina Marakhovskaya		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li><input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<p><b>Items 11. to 16. below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.           <ol style="list-style-type: none"> <li><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> </ol> </li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information:</li> </ol>		
<p><b>3 sheets of formal drawings (Figs. 1-4)</b>  <b>Verification of Translation of PCT/FR00/03385</b></p>		

U.S. APPLICATION NO. (If known, see 37 CFR 1.15) <b>09/890695</b>	INTERNATIONAL APPLICATION NO PCT/FR00/03385	ATTORNEY'S DOCKET NUMBER BDL-356XX																				
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO      \$1,000.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO      \$860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO      \$710.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)      \$690.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)      \$100.00</p>		<b>CALCULATIONS</b> PTO USE ONLY																				
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$860.00																				
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>16- 20 =</td> <td>0</td> <td>X \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>1- 3 =</td> <td>0</td> <td>X \$80.00</td> </tr> <tr> <td colspan="2"><b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b></td> <td></td> <td>+\$270.00</td> </tr> <tr> <td colspan="2"></td> <td></td> <td style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	16- 20 =	0	X \$18.00	Independent claims	1- 3 =	0	X \$80.00	<b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>			+\$270.00				<b>TOTAL OF ABOVE CALCULATIONS =</b>	\$860.00
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<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$																				
<b>SUBTOTAL =</b>		\$860.00																				
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$																				
<b>TOTAL NATIONAL FEE =</b>		<b>\$860.00</b>																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$40.00																				
<b>TOTAL FEES ENCLOSED =</b>		\$900.00																				
		<b>Amount to be refunded:</b> \$																				
		<b>charged:</b> \$																				
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$860.00</u> to cover the above fees is enclosed. A check in the amount of <u>\$40.00</u> is enclosed for the assignment recordation fee.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0804</u>. A duplicate copy of this sheet is enclosed.</p>																						
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p><b>Customer Number 207</b></p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Weingarten, Schurigin, Gagnebin &amp; Hayes LLP Ten Post Office Square Boston, Massachusetts 02109</p> <p>Date: <u>8/03/01</u></p>																						
 <b>STANLEY M. SCHURIGIN</b> NAME		REGISTRATION NUMBER <u>20,979</u>																				

CLG:kmw/255368-1

Form PTO-1390 (REV 11-09) page 2 of 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : PIERRE OLRY, ET AL.  
Application No. :  
Filed : Herewith  
For : A METHOD OF OBTAINING A CARBON FIBER  
FABRIC BY CONTINUOUSLY CARBONIZING A  
CELLULOSE FIBER FABRIC  
Examiner :  
Attorney's Docket : BDL-356XX

Group Art Unit:

\*  
I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in an  
envelope addressed to: Commissioner for Patents, Washington,  
D.C. 20231 on \_\_\_\_\_.

By: \_\_\_\_\_  
Stanley M. Schurigin  
Registration No. 20,979  
Attorney for Applicant(s)

PRELIMINARY AMENDMENT

BOX PCT  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Kindly enter the following Preliminary Amendment in the  
above-identified application:

In the Title:

Please amend the title to read as follows:

**A METHOD OF OBTAINING A CARBON FIBER FABRIC BY  
CONTINUOUSLY CARBONIZING A CELLULOSE FIBER FABRIC**

Express Mail Number

EL 751779244 US

In the Claims:

Please amend the claims to read as follows (a copy of the amended claims showing the additions and deletions appears at the end for the Examiner's convenience):

3/ A method according to claim 1, characterized in that the transit time of the fabric through the chamber lies in the range 20 min to 2 h.

4/ A method according to claim 1, characterized in that, prior to carbonization, the fabric is subjected to relaxation treatment at a temperature lying in the range 100°C to 250°C.

6/ A method according to claim 4, characterized in that the relaxation treatment is performed for a duration lying in the range 15 min to 3 h.

7/ A method according to claim 1, characterized in that the carbonized fabric is subjected to high temperature heat treatment lying in the range 1000°C to 2800°C after it has passed through the carbonization chamber.

9/ A method according to claim 1, characterized in that the carbonized fabric is subjected to activation treatment.

Please add the following new claims 10-16:

10/ A method according to claim 2, characterized in that the transit time of the fabric through the chamber lies in the range 20 min to 2 h.

11/ A method according to claim 2, characterized in that, prior to carbonization, the fabric is subjected to relaxation treatment at a temperature lying in the range 100°C to 250°C.

12/ A method according to claim 5, characterized in that the relaxation treatment is performed for a duration lying in the range 15 min to 3 h.

13/ A method according to claim 2, characterized in that:  
prior to carbonization, the fabric is subjected to relaxation treatment in air at a temperature lying in the range 100°C to 250°C; and  
the relaxation treatment is performed for a duration lying in the range 15 min to 3 h.

14/ A method according to claim 13, characterized in that:  
the carbonized fabric is subjected to high temperature heat treatment lying in the range 1000°C to 2800°C after it has passed through the carbonization chamber; and

the high temperature heat treatment is performed for a duration lying in the range 1 min to 10 min.

15/ A method according to claim 13, characterized in that the carbonized fabric is subjected to activation treatment.

16/ A method according to claim 14, characterized in that the carbonized fabric is subjected to activation treatment.

REINHOLD WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES, LLP  
TELEPHONE: (617) 542-2290  
FAX: (617) 451-0313

Attorney Docket No. BDL-356XX  
Filed: Herewith  
Group Art Unit:

REMARKS

This Preliminary Amendment puts the claims into proper form for examination. Note that claims 3, 4, 6, 7, and 9 have been amended; new claims 10-16 have been added; and claims 1, 2, 5, and 8 remain unchanged. Kindly calculate the filing fee based on the amended claims.

This Application contains a translation of the title and abstract as they were when originally filed by the Applicant. No account has been taken of any changes that may have been made subsequently by the PCT Authorities acting ex officio, e.g., under PCT Rules 37.2, 38.2, and/or 48.3.

The Examiner is encouraged to telephone the undersigned attorney to discuss any matter which would expedite allowance of the present application.

Respectfully submitted,

PIERRE OLRY, ET AL

By:   
Stanley M. Schurgin  
Registration No. 20,979  
Attorney for Applicants

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Date: 8/3/01

CLG:kmw/255344-1

Enclosure

Red-lined Claims for the Examiner's convenience:

3/ A method according to claim 1~~or claim 2~~, characterized in |  
that the transit time of the fabric through the chamber lies in  
the range 20 min to 2 h.

4/ A method according to claim 1~~or claim 2~~, characterized in |  
that, prior to carbonization, the fabric is subjected to  
relaxation treatment at a temperature lying in the range 100°C  
to 250°C.

6/ A method according to claim 4~~or claim 5~~, characterized in |  
that the relaxation treatment is performed for a duration lying  
in the range 15 min to 3 h.

7/ A method according to any one of claims 1~~to~~ 6, characterized |  
in that the carbonized fabric is subjected to high temperature  
heat treatment lying in the range 1000°C to 2800°C after it has  
passed through the carbonization chamber.

9/ A method according to any one of claims 1~~to~~ 6, characterized |  
in that the carbonized fabric is subjected to activation  
treatment.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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### Group Art Unit:

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By: \_\_\_\_\_  
Stanley M. Schurgin  
Registration No. 20,979  
Attorney for Applicant(s)

**PRELIMINARY AMENDMENT**

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Express Mail Number

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A METHOD OF OBTAINING A CARBON FIBER FABRIC BY  
CONTINUOUSLY CARBONIZING A CELLULOSE FIBER FABRIC

Field of the invention

5 The invention relates to manufacturing carbon fiber fabrics from fabric made of fibers of a cellulose material that is a precursor of carbon.

10 More particularly, but not exclusively, the invention relates to manufacturing carbon fiber fabrics by carbonizing a fabric made of viscose fibers, in particular rayon fibers.

Background of the invention

15 Cellulose-precursor carbon fibers generally present a porous structure made up of highly disorganized turbostratic carbon, said structure also being highly disoriented relative to the axial direction of the fibers and their pore lattices.

20 Those characteristics confer low thermoconductivity on the carbon fibers, thereby making them particularly suitable for forming thermal protection coatings, such as ablative coatings for combustion chambers and thruster nozzles.

25 Other applications have been envisaged for cellulose-precursor carbon fiber fabric, in particular for making heating resistors, making battery electrodes, or catalyst supports, or forming activated fabric used as absorbent material.

30 Methods of obtaining fabric made of cellulose-precursor carbon fibers are known. Reference can be made in particular to US patents Nos.: 3 053 775, 3 107 152, 3 305 315, and 3 663 173.

35 A commonly used method consists in performing direct carbonization on a cellulose fiber fabric, in particular a viscose fabric. The fabric is put into the

form of a hank that is several hundreds of meters (m) long. It is precarbonized up to a temperature of about 400°C. Precarbonization is performed in a container, preferably under an inert atmosphere, e.g. while being swept with nitrogen. The effluents coming from the decomposition of the cellulose are sucked away and burned off in a flare.

Temperature rises very slowly so as to comply with the decomposition kinetics of cellulose, so as to obtain a satisfactory yield of carbon, and so as to ensure that the decomposition reaction, which is exothermic, does not run away, since such a runaway could destroy the mechanical properties of the resulting carbon fibers. By way of example, for a 100 m long hank, precarbonization can last for as much as 15 days, which is extremely long.

The precarbonization stage is followed by heat treatment at a temperature of about 1200°C for about 1 minute (min) to 2 min. Final treatment at high temperature, e.g. as high as 2800°C, can be performed to increase the conductivity of the carbon and close its pores.

A method and an installation for obtaining carbon fiber fabric by continuously carbonizing a cellulose fiber fabric with heat treatment lasting for a much shorter duration is described in Russian patents Nos. 2 005 829, 2 045 472, and 2 047 674.

The precursor fabric, e.g. of engineering viscose fibers, is impregnated by an organosilicon compound having the effect of conserving good mechanical properties for the resulting carbon fiber fabric. The organosilicon compound is selected from compounds in the group: polydimethylphenylallylsilanes, polysiloxanes,

polymethylsiloxanes, polysilazanes, and polyalumonio-organosiloxanes.

The impregnated fabric is subjected to continuous heat treatment in air at a temperature lying in the range 100°C to 300°C, and more particularly in the range 100°C to 150°C so as to relax the stresses which exist in the cellulose fibers and so as to eliminate the water adsorbed by the fibers.

Carbonization is then performed on the fabric passing continuously through an enclosure under an inert atmosphere, with the temperature being raised progressively up to 300°C to 600°C. High temperature treatment up to a maximum of 2800°C under an inert atmosphere is then performed.

During carbonization, the gas effluents of cellulose pyrolysis are sucked up and burned off in a flare, with the suction means being located in the enclosure where most cellulose degradation takes place.

That method makes it possible to obtain satisfactory mechanical properties for the carbon fibers, but it leads to the resulting fabric being deformed, e.g. by disorganizing its weave and by warp shrinkage.

Such deformation is not acceptable, in particular when the fabric is to be used for making preforms for composite material parts, since the deformation leads to fibers being distributed in non-uniform manner within the preform, and that affects the behavior of composite material parts reinforced by such fabric.

30

Object and summary of the invention

An object of the invention is to avoid those drawbacks by proposing a method of obtaining carbon fiber fabrics by carbonizing cellulose fiber fabrics, in

which method a carbon fiber fabric is obtained, that does not present significant deformation.

This object is achieved by a method in which a fabric travelling continuously through a carbonization chamber is subjected to heat treatment comprising:

5 - an initial stage for bringing the temperature of the fabric to a value lying in the range 250°C to 350°C, the initial stage comprising temperature rise at a first mean speed lying in the range 10°C/min to 60°C/min;

10 - an intermediate stage for raising the temperature of the fabric to a value lying in the range 350°C to 500°C, the intermediate stage comprising temperature rising at a second mean speed lower than the first and lying in the range 2°C/min to 10°C/min; and

15 - a final stage for raising the temperature of the fabric to a value lying in the range 500°C to 750°C, the final stage comprising temperature rising at a third mean speed greater than the second and lying in the range 5°C/min to 40°C/min.

20 The selection of this particular temperature profile during carbonization satisfies the concern to find the best compromise between the quality of carbonization, from which in particular the mechanical behavior of the fibers depends, the quality of the appearance of the fabric, i.e. the absence of significant warp shrinkage and an unaltered warp/weft geometrical configuration, and keeping production costs down to an acceptable level.

25 During carbonization, a cellulose fiber yarn is subject to significant shrinkage. This can be as much as 30% to 40% when the yarn is not subject to any tension.

For a fabric that is being subjected to a continuous carbonization process, shrinkage of the weft

yarn is practically unconstrained and therefore almost reaches the maximum value.

The shrinkage of the weft yarn between the entrance and the exit of the chamber causes the strands of warp 5 yarn to converge (move progressively towards one another). A situation which is favorable to obtaining carbon fiber fabric without excessive warp shrinkage and without deforming its shape would be a solution in which, along the path through the chamber, shrinkage 10 affects both warp yarn and weft yarn in substantially the same manner.

Nevertheless, whereas each strand of weft yarn is at a single temperature, the strands of warp yarn extend parallel to the travel direction of the fabric through 15 the chamber so they are not at uniform temperature. The temperature of any given strand of warp yarn varies between its portion which is exposed to the lowest temperature prior to the entrance to the chamber, and its portion which is exposed to the highest temperature, 20 at the opposite end of the chamber.

Furthermore, although the weft yarn shrinks practically freely, the warp yarn always shrinks somewhat less than the maximum possible amount because of the tension which is inevitably exerted on the warp 25 yarn by the means for supporting and driving the fabric that is travelling continuously.

The temperature profile of the method of the invention seeks to satisfy a first concern which is to cause the weft yarn to shrink in such a manner as to 30 ensure that the shape of the fabric remains unaltered during shrinkage so as to avoid the fabric becoming disorganized. Thus, in an initial stage after the fabric has entered into the enclosure, temperature rises

relatively fast so as to give rise to early shrinkage of the weft yarn.

The temperature profile also seeks to satisfy a second concern, that of obtaining good mechanical quality for the carbon fibers that result from the carbonization. Thus, in an intermediate stage where most of the cellulose decomposition takes place, temperature rises more slowly so as to comply as well as possible with decomposition kinetics. Selecting a mean temperature rise speed lying in the range 2°C/min to 10°C/min makes it possible to satisfy this concern in satisfactory manner without requiring the fabric to follow a path that is of excessive length.

The final stage of carbonization, which seeks essentially to confer the desired structure on the carbon, can again be performed with a faster rate of temperature rise, since nearly all of the warp and weft shrinkage has already taken place, thereby reducing the total duration of carbonization, and thus reducing production costs.

According to a feature of the method, the fabric is caused to travel through the chamber via successive zones, each of which has a controlled temperature therein.

According to another feature of the method, the transit time of the fabric through the chamber lies in the range 20 min to 2 hours (h). Carbonization is thus extremely fast.

According to yet another feature of the method, prior to carbonization, the fabric is subjected to relaxation treatment at a temperature lying in the range 100°C to 250°C, preferably in air and for a duration that lies in the range 15 min to 3 h, for example.

Brief description of the drawings

Other features and advantages of the invention will appear on reading the following description given by way of non-limiting indication, and made with reference to  
5 the accompanying drawings, in which:

- Figure 1 is a highly diagrammatic longitudinal section view of a continuous carbonization installation for obtaining fabric made of carbon fiber;

10 - Figure 2 is a cross-section view on plane II-II of Figure 1;

- Figure 3 shows upper and lower limits for the temperature profile of fabric inside a carbonization chamber in a method of the invention; and

15 - Figure 4 shows the fabric obtained by implementing a method other than the method of the invention.

Detailed description of implementations of the invention

An installation for continuously carbonizing cellulose fiber fabric is shown very diagrammatically in  
20 Figure 1.

25 Carbonization is performed on a cellulose fiber fabric T, e.g. made of engineering viscose fibers, having an organosilicon compound added thereto which acts, during decomposition of the cellulose, to ensure that the resulting carbon fibers retain good mechanical properties.

For this purpose, the viscose fabric T in the dry state and cleaned of any oiling is impregnated by  
30 passing through a bath containing said organosilicon compound in solution. As mentioned above, the organo-silicon compound can be selected from polysiloxanes. It is preferable to use a polysiloxane selected from the families defined in the International patent

applications WO 01/42541 and WO 01/42544, with the content thereof being incorporated herein by reference, said families being:

5       - the family of cyclic, linear, or branching poly-hydrosiloxanes substituted by methyl and/or phenyl groups, having a number-average molecular mass lying in the range 250 and 10,000, and advantageously in the range 2500 and 5000; and

10      - the family of cross-linked, cyclic, or branching oligomers and resins presenting a number-average molecular mass lying in the range 500 to 10,000 and constituted by units having the formula  $\text{SiO}_4$  (referred to as Q<sub>4</sub> unit), and units having the formula  $\text{SiO}_x\text{R}_y(\text{OR}')_z$  in which:

15       - x, y, and z are integers, such that  $x + y + z = 4$  and  $1 \leq x \leq 3$ ;  $0 \leq y \leq 3$ ;  $0 \leq z \leq 3$ ;

20       - R represents hydrogen or a linear or branching alkyl radical having 1 to 10 atoms of carbon, it being possible for there to be different Rs in the same unit when  $y \leq 2$ ;

25       - R' represents, independently of R, hydrogen or a linear or branching alkyl radical having 1 to 10 atoms of carbon, it being possible for there to be different R's in the same unit when  $z \leq 2$ ;

it being understood that:

30       - for oligomers presenting a number-average molecular mass less than 1000,  $z \neq 0$  in said formula  $\text{SiO}_x\text{R}_y(\text{OR}')_z$ ; and

      - for resins having a number-average molecular mass greater than 2000,  $y \neq 0$  in said formula  $\text{SiO}_x\text{R}_y(\text{OR}')_z$ .

In particular, the organosilicon compound can be a siloxane resin, constituted by units having the formula  $\text{SiO}_4$  (referred to as Q<sub>4</sub> units), units having the formula  $\text{SiO}_3\text{-OH}$  (referred to as Q<sub>3</sub> units), and units having the

formula O-Si-R<sub>3</sub> (referred to as M units), advantageously constituted by n<sub>1</sub> Q<sub>4</sub> units, n<sub>2</sub> Q<sub>3</sub> units, and n<sub>3</sub> M units, where 2 ≤ n<sub>1</sub> ≤ 70, 3 ≤ n<sub>2</sub> ≤ 50, and 3 ≤ n<sub>3</sub> ≤ 50, and presenting a number-average molecular mass lying in the range 5

2500 to 5000.

The organosilicon compound can also be selected from oligomers of a partially hydrolyzed organic silicate, advantageously selected from oligomers of a partially hydrolyzed alkyl silicate, and preferably selected from oligomers of partially hydrolyzed ethyl silicate.

Impregnation is performed by causing the fabric T to pass through a vessel 10 containing the selected organosilicon compound, in solution in a solvent such as a chlorine-containing solvent (e.g. perchloroethylene), or acetone. The fabric can be impregnated by passing through a bath (as shown) and/or by spraying the solution of the organosilicon compound on both faces of the fabric. On leaving the vessel 10, the impregnated fabric is pressed out by passing between rollers 12 so as to leave a controlled quantity of the compound.

The impregnated fabric is then admitted into a dryer 14 so as to eliminate the solvent. Drying is performed, for example, by a flow of hot air flowing in the opposite direction to the fabric passing over variable tensioning rollers 16.

The impregnated and dry fabric is ready for being carbonized. It can be stored temporarily, e.g. placed in superposed layers in a container, or it can be admitted directly and continuously into the carbonization station 18 proper.

It will be observed that the fabric can also be impregnated with at least one inorganic additive, a Lewis base or acid, e.g. selected from halides, sodium

or ammonium phosphates and sulfates, urea, and mixtures thereof, and advantageously consist in ammonium chloride ( $\text{NH}_4\text{Cl}$ ) or diammonium phosphate [ $(\text{NH}_4)_2\text{HPO}_4$ ].

Carbonization comprises moderate heat treatment for  
5 drying and relaxing the fabric followed by passing through an oven where carbonization proper is performed.

The relaxation treatment is performed by admitting the fabric into an enclosure 20 at atmospheric pressure under ambient air. The temperature inside the enclosure  
10 20 is set to a value lying in the range 100°C to 250°C, e.g. about 130°C. The transit time through the enclosure 20 preferably lies in the range 15 min to 3 h. The length of the path followed by the fabric through the enclosure, passing over deflector rollers 22 is  
15 selected so as to obtain the desired transit time as a function of the travel speed of the fabric. The relaxation heat treatment serves to relax internal stresses in the cellulose fibers and to eliminate the water adsorbed by the fabric.

20 Carbonization is then performed by admitting the fabric into an enclosure 30 that contains a carbonization chamber 40. The cellulose fiber fabric is admitted into the chamber 40 at one end thereof and the carbon fiber fabric is extracted from the chamber 40 at  
25 its opposite ends, in both cases through sealing boxes 50, 52. On entering the box 50, the fabric has returned substantially to ambient temperature.

In the example shown, the carbonization chamber is an elongate chamber through which the fabric follows a  
30 horizontal rectilinear path. Other carbonization chamber configurations could be envisaged, e.g. a chamber having a plurality of consecutive adjacent portions that are horizontal or vertical with the fabric being guided therein by deflector rollers.

The chamber 40 is defined by top and bottom horizontal walls 42a and 42b, and by vertical side walls 42c and 42d, e.g. made of graphite. The chamber 40 is surrounded by an enclosure 30. Electrical heater  
5 resistors 34 are located inside the enclosure 30 close to the outside faces of the walls 42a and 42b.

The inside of the chamber 40 is maintained under an inert atmosphere, e.g. under nitrogen injected via pipes 36 respectively close to the entrance and close to the  
10 exit of the chamber. While carbonization is taking place, the products of cellulose decomposition are extracted from the chamber via one or more chimneys 38. The extractor chimneys are placed at locations in the  
15 oven where the major part of cellulose decomposition occurs. The extracted products can be burnt off in a flare (not shown).

The sealing boxes 50, 52 prevent ambient air gaining access to the inside of the chamber 40 since that would have the effects of disturbing gas flow  
20 inside the chamber 40 and of oxidizing the carbonized fabric. The sealing boxes 50, 52 also prevent polluting leakage of cellulose decomposition products into the building housing the enclosure 30. It is advantageous,  
25 at least for the entrance sealing box 50, to use a combination of static sealing by means of an inflatable seal that comes into contact with the fabric with a minimum of friction, and dynamic sealing by means of a barrier formed by injecting inert gas. An embodiment of such a sealing box is described in the International  
30 patent application N° WO 01/42544, the content of which is incorporated herein by reference.

In cross-section, the carbonization chamber 40 presents an elongate rectangular profile (Figure 2). Between the entrance and the exit of the chamber 40, the

fabric passes in succession through adjacent zones that are separated from one another by transverse walls 44a, 44b. By way of example, the walls 44a are made of graphite and are connected to the top and side walls of the chamber 40, while the walls 44b are likewise made of graphite, for example, and are connected to the bottom and side walls of the chamber 40. The facing ends of the walls 44a and 44b leave slots 46 between them through which the fabric passes.

By subdividing the chamber 40 into a plurality of consecutive zones 40<sub>1</sub>, 40<sub>2</sub>, 40<sub>3</sub>, ..., it is possible to define different temperature zones between the entrance and the exit of the chamber 40. In each zone, temperature is regulated on a predetermined reference value. For this purpose, the electrical currents passing through the resistors 34 are regulated by a control circuit 46 on the basis of information supplied by temperature probes 48 located in the various zones 40<sub>1</sub>, 40<sub>2</sub>, 40<sub>3</sub>, ... .

In the invention, the temperatures in the various zones of the carbonization chamber are determined as is the fabric travel speed which is a function of the length of said zones, in such a manner that the heat treatment applied to the fabric comprises:

- an initial stage during which the temperature of the fabric is raised to a value lying in the range 250°C to 350°C, with the temperature of the fabric rising at a first mean speed lying in the range 10°C/min to 60°C/min;

- an intermediate stage during which the temperature of the fabric is brought to a value lying in the range 350°C to 500°C, with the temperature of the fabric rising at a second mean speed that is lower than

the first, and lies in the range 2°C/min to 10°C/min; and

5 - a final stage during which the temperature of the fabric is brought to a value lying in the range 500°C to 750°C, the final stage including a temperature rise at a third mean speed greater than the second mean speed and lying in the range 5°C/min to 40°C/min.

10 The upper and lower limits corresponding to the temperature profile for the fabric are shown in Figure 3 by continuous lines. In Figure 3, the chain-dotted line C illustrates a "typical" profile.

15 The initial stage seeks to impose early shrinkage of the weft of the fabric so that it adapts to the configuration of the warp yarn. While each strand of weft 20 yarn heats progressively on entering the carbonization chamber, the fraction of each strand of warp yarn that is penetrating into the chamber is influenced by the fraction which is situated downstream and is exposed to a much higher temperature. By imposing a fast temperature rise on entry into the chamber 40, the weft can "follow" the shrinkage of the fabric and avoid shape defects appearing in the fabric.

25 That is why a relatively fast temperature rise speed is selected. On average it lies in the range 10°C/min to 60°C/min, and preferably in the range 10°C/min to 40°C/min. The speed of temperature rise can be higher at the beginning of the initial stage than at the end thereof.

30 The temperature of the fabric at the end of the initial stage lies in the range 250°C to 350°C, and preferably in the range 270°C to 300°C.

The intermediate stage is the stage during which most of the cellulose decomposition takes place. In order to conserve good mechanical behavior for the

fibers, this decomposition must be controlled, i.e. it must take place with temperature rising at a moderate speed. On average, this speed lies in the range 2°C/min to 10°C/min, and preferably in the range 4°C/min to 6°C/min, it being understood that too slow a speed would be penalizing, economically speaking.

The temperature of the fabric at the end of the intermediate stage lies in the range 400°C to 450°C. This temperature is the temperature at which most of the cellulose decomposition takes place.

The final stage is the stage in which the carbonization of the fibers is finished off so as to obtain the desired carbon structure.

The temperature of the fabric at the end of the final stage lies in the range 500°C to 750°C, e.g. in the range 550°C to 650°C in order to obtain a sufficiently high degree of carbonization.

During the final stage, temperature rise can take place faster than during the intermediate stage, since the major part of cellulose decomposition has already taken place. In addition, the constraints associated with differential shrinkage between the warp and weft yarns are smaller since most of the shrinkage has already taken place both in the warp direction and in the weft direction. The mean speed of temperature rise is selected to lie in the range 5°C/min to 40°C/min, e.g. in the range 25°C/min to 30°C/min.

The accuracy with which the temperature profile desired for the fabric in the carbonization chamber can be reproduced increases with an increasing number of zones within the chamber, with temperature being controlled individually in each zone. In practice, the minimum number of zones is equal to 3, and is preferably not less than 6.

On leaving the sealing box 52, the fabric passes between pull rollers 54 prior to being stored, e.g. in the form of a roll 56. The pull rollers are associated with drive means (not shown) for causing the fabric to travel at the desired speed. It will be observed that because the warp yarn shrinks during carbonization, the speed of the fabric on entry into the chamber 40 is greater than its speed on exit therefrom.

The transit time of the fabric through the chamber 40 lies in the range 20 min to 2 h.

High temperature heat treatment can be performed on the carbonized fabric coming from the chamber 40. This heat treatment is performed continuously by passing the fabric through an oven 60. This heat treatment seeks to structure the carbon fibers. It is performed at a temperature greater than 1000°C, possibly as high as 2800°C, in an inert atmosphere, e.g. nitrogen. The transit time of the fabric through the oven 60 preferably lies in the range 1 min to 10 min, e.g. being about 2 min. The fabric is taken from the roll 56, and on leaving the oven 60 it is stored on a roll 62, being driven by rollers 64.

The carbon fabric coming directly from the chamber 40 can also be oxidized in controlled manner by exposing it to steam or to carbon dioxide, under well-known conditions for obtaining activated carbon fabric, without using high temperature heat treatment.

Example 1

A carbonization installation was used having a chamber subdivided into eight zones 40<sub>1</sub> to 40<sub>8</sub> all of equal length.

Various strips of the same engineering rayon fabric made using 3600 dtex yarn with warp and weft counts of

11 strands per cm were carbonized in said installation after being subjected to impregnation by an organo-silicon compound constituted by a polyhydromethylsiloxane resin sold by the French company, Rhodia  
5 Silicones, under the reference RHODORSIL RTV 141 B, and drying and relaxation treatment at 170°C for 90 min.

The various regulated temperatures in the zones of the oven and the various travel speeds were selected so that the temperatures and temperature rise speeds of the  
10 fabric in the various zones of the carbonization chamber 40 lay within the range given in the table below. The temperature limits are drawn as dashed-line curves in Figure 3. The total times required for carbonization lay in the range 30 min to 70 min.  
15

Zone	401	402	403	404	405	406	407	408
Temp. (°C)	230 to 300	250 to 330	270 to 340	300 to 360	330 to 410	400 to 510	510 to 600	600 to 700
Mean rate of temperature rise (°C/min)	20 to 60	2 to 10	2 to 10	2 to 10	2 to 10	5 to 25	5 to 25	5 to 25

In this oven, the chimney(s) for evacuating cellulose decomposition products are situated between  
20 zones 405 and 406.

In all cases, by using the temperature profile of the invention, it was found that the fabric leaving the carbonization chamber was free from any creasing.

After carbonization, the fabric was subjected to  
25 continuous treatment at 1200°C under nitrogen for 90 seconds (s).

Traction tests were performed on the various strips of carbon fabric that were obtained. Values in the range 30 decaNewtons per centimeter (daN/cm) to 70 daN/cm were measured in the warp direction and in the 5 range 30 daN/cm to 50 daN/cm in the weft direction for fabric weighing 310 grams per square meter ( $\text{g}/\text{m}^2$ ) to 330  $\text{g}/\text{m}^2$ . At carbon filament level, that corresponds to breaking strength lying in the range 1000 megaPascals (MPa) to 1300 MPa and to a Young's modulus lying in the 10 range 30 gigaPascals (GPa) to 50 GPa.

Comparative example

A rayon fiber fabric of the kind used in the above examples was carbonized continuously.

15 By way of comparison, the same fabric was carbonized under similar conditions, but with the exception of the carbonization profile, where the temperature of the fabric was caused to rise at a constant speed of  $7^\circ\text{C}/\text{min}$  from ambient temperature to 20  $650^\circ\text{C}$ .

Figure 4 shows the creased appearance of the resulting fabric, due to differential shrinkage in the warp and weft directions.

**CLAIMS**

1/ A method of obtaining a carbon fiber fabric by continuously carbonizing a cellulose fiber fabric, the  
5 method being characterized in that the fabric travelling continuously through a carbonization chamber is subjected to heat treatment comprising:

10 - an initial stage for bringing the temperature of the fabric to a value lying in the range 250°C to 350°C, the initial stage comprising temperature rise at a first mean speed lying in the range 10°C/min to 60°C/min;

15 - an intermediate stage for raising the temperature of the fabric to a value lying in the range 350°C to 500°C, the intermediate stage comprising temperature rising at a second mean speed lower than the first and lying in the range 2°C/min to 10°C/min; and

20 - a final stage for raising the temperature of the fabric to a value lying in the range 500°C to 750°C, the final stage comprising temperature rising at a third mean speed greater than the second and lying in the range 5°C/min to 40°C/min.

25 2/ A method according to claim 1, characterized in that the fabric is caused to travel through the chamber via successive zones, each of which has a controlled temperature therein.

30 3/ A method according to claim 1 or claim 2, characterized in that the transit time of the fabric through the chamber lies in the range 20 min to 2 h.

4/ A method according to claim 1 or claim 2, characterized in that, prior to carbonization, the

fabric is subjected to relaxation treatment at a temperature lying in the range 100°C to 250°C.

5 / A method according to claim 4, characterized in that  
the relaxation treatment is performed in air.

6 / A method according to claim 4 or claim 5,  
characterized in that the relaxation treatment is  
performed for a duration lying in the range 15 min to  
10 3 h.

7 / A method according to any one of claims 1 to 6,  
characterized in that the carbonized fabric is subjected  
to high temperature heat treatment lying in the range  
15 1000°C to 2800°C after it has passed through the  
carbonization chamber.

8 / A method according to claim 7, characterized in that  
the high temperature heat treatment is performed for a  
duration lying in the range 1 min to 10 min.  
20

9 / A method according to any one of claims 1 to 6,  
characterized in that the carbonized fabric is subjected  
to activation treatment.

**A B S T R A C T**

The carbonization of cellulose fiber fabric  
5 comprises an initial stage of heat treatment up to 250°C  
to 350°C, with a relatively high mean temperature rise  
speed of 10°C/min to 60°C/min, an intermediate stage up  
to 350°C to 500°C with a lower mean temperature rise  
speed of 2°C/min to 10°C/min, and a final stage up to  
10 500°C to 750°C with a mean temperature rise speed that  
is again raised to 5°C/min to 40°C/min.

1/3

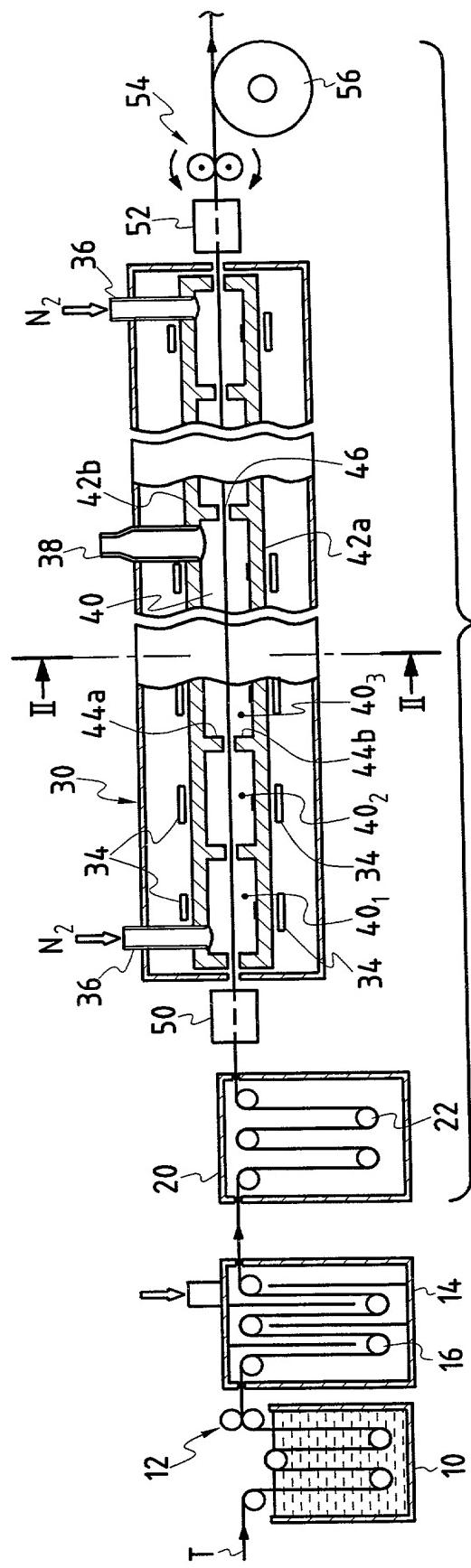


FIG. 1

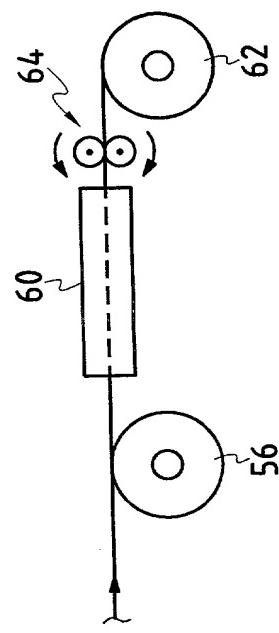
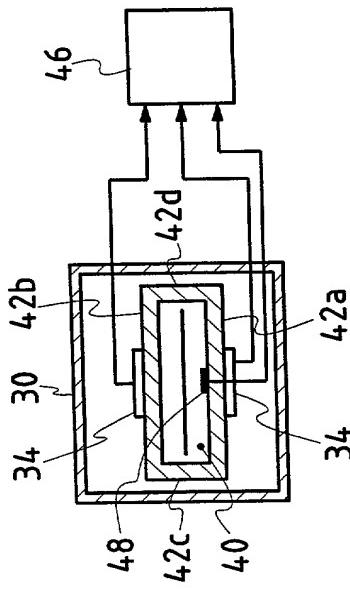


FIG. 2



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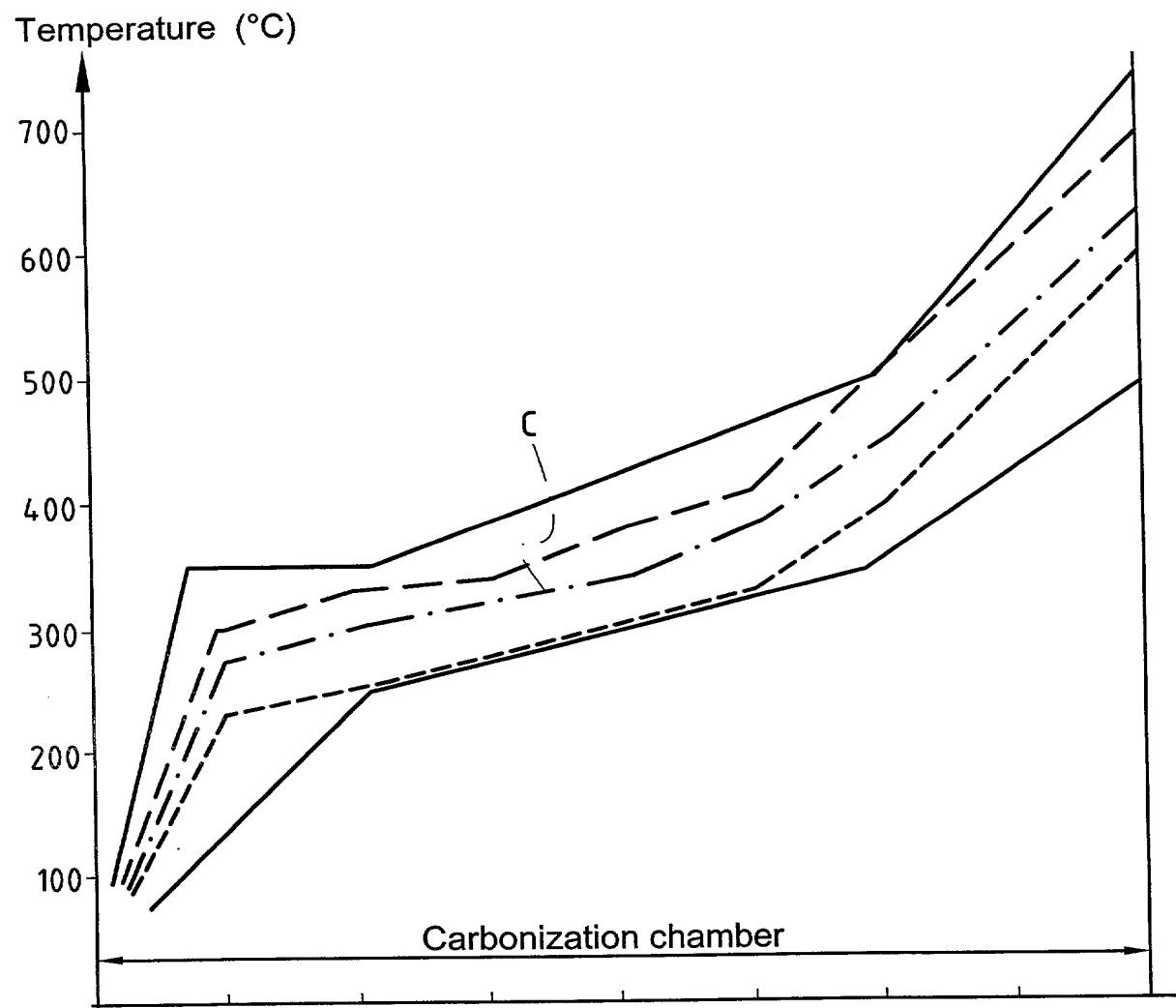


FIG.3

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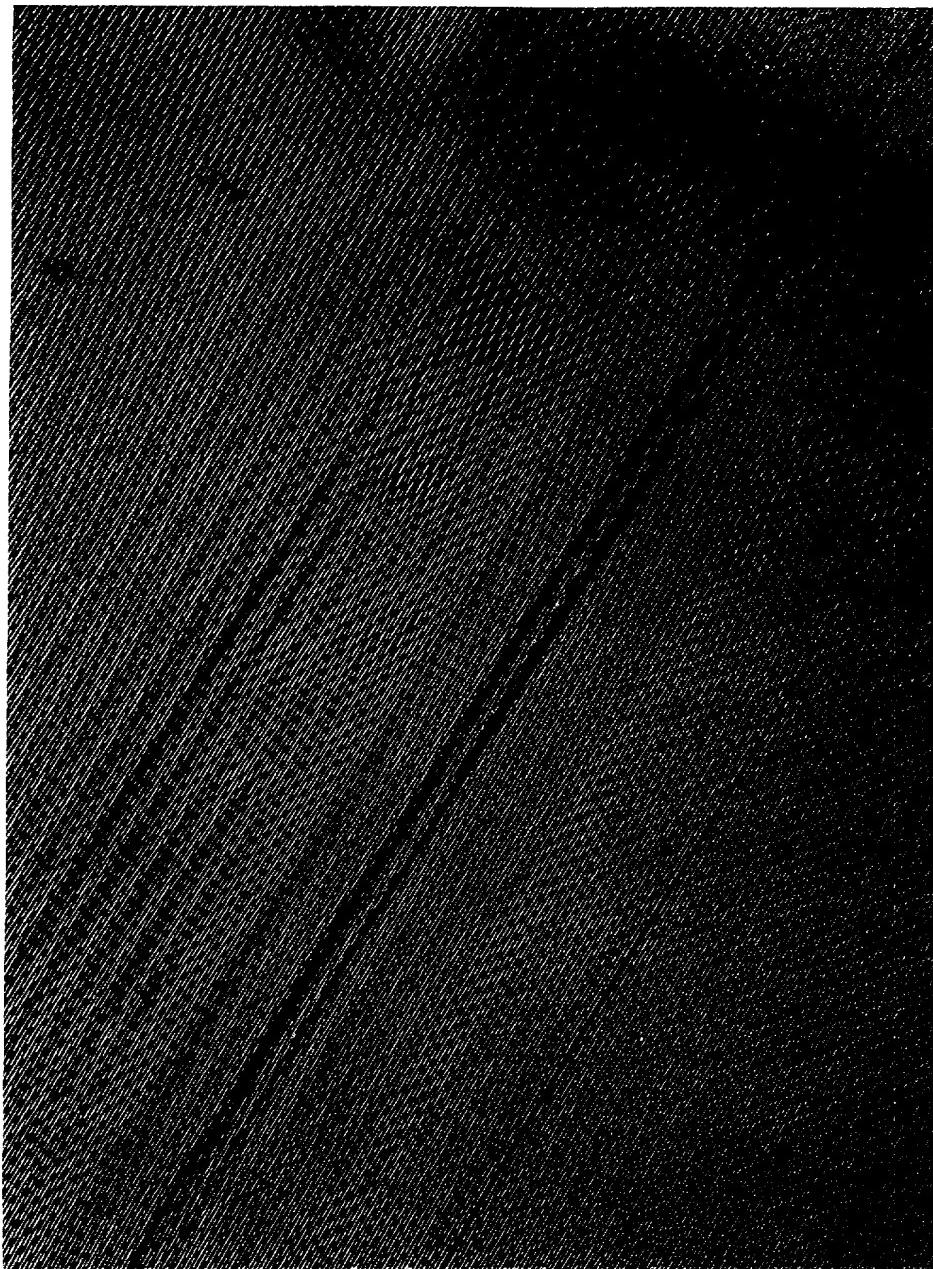


FIG.4

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
(includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER  
**BDL-356XX**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A METHOD OF OBTAINING A CARBON FIBER FABRIC BY CONTINUOUSLY CARBONIZING A CELLULOSE

FIBER FABRIC

the specification of which (check only one item below):

- is attached hereto.  
 was filed as United States application

Serial No. \_\_\_\_\_

on \_\_\_\_\_,

and was amended

on \_\_\_\_\_ (if applicable).

- was filed as PCT international application

Number PCT/FR00/03385

on 5 DECEMBER 2000,

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
FRANCE	99 15330	6 DECEMBER 1999	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			Express Mail Number
			EL 751779244 US

**Combined Declaration For Patent Application and Power of Attorney (Continued)**  
 (Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER  
**BDL-356XX**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT International application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:**

U.S. APPLICATIONS		STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201 <u>Pierre Olry</u>	SIGNATURE OF INVENTOR 202 <u>Julie</u>	SIGNATURE OF INVENTOR 203 <u>Sylvie</u>
DATE <u>JULY 9, 2001</u>	DATE <u>JULY 9, 2001</u>	DATE <u>JULY 9, 2001</u>

204	FULL NAME OF INVENTOR <i>A-50</i>	FAMILY NAME <u>MARAKHOVSKAYA</u>	FIRST GIVEN NAME <u>Marina</u>	SECOND GIVEN NAME
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	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
206	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.				
SIGNATURE OF INVENTOR 204 <i>Shapko</i>		SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206	
DATE <i>JULY 9, 2001</i>	DATE	DATE		

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Very dark